

10/070242
0120 REC'D PCT/PTO 17 FEB 2003**FROMMER LAWRENCE & HAUG LLP**

745 Fifth Avenue
New York, New York 10151
Telephone: (212) 588-0800
Facsimile: (212) 588-0500
E-mail: Firm@flhlaw.com

RECEIVED
APR 18 2003
TC 1700**FACSIMILE COVER LETTER**

To: Linda McDowell
Firm: PTO
Facsimile: 703-308-7749
From: Liz Matier - Secretary to Wm. F. Lawrence
Date: February 14, 2003
Re: Copy of Response to Notification of Missing
13 Requirements
Number of Pages: 13
(including cover page)
cc: _____

If you do not receive all pages or are unable to read the transmission, please call and ask for Liz Matier (Ext. 2018)

Dear Ms. McDowell,

As per our telephone conversation of 2-14-03, enclosed is a copy of the response to the Notification of Missing Requirements we filed on October 15, 2002.

Thank you for your help.

Elizabeth Matier

Elizabeth Matier

CONFIDENTIALITY NOTICE

The documents accompanying this transmission contain confidential information intended for a specific individual and purpose. The information is private, and is legally protected by law. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution, or the taking of any action in reliance on the contents of this facsimile is strictly prohibited.

PATENT
514453-3916

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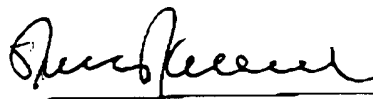
Requirements.

Enclosed herewith is check number 023282 in the amount of \$920.00 in payment of the required fee for a three-month extension. If there are any additional required fees please charge our Deposit Account 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

By: _____



William F. Lawrence
Registration No. 28,029
745 Fifth Avenue
New York, New York 10151
(212) 588-0800

FROMMER LAWRENCE & HAUG LLP

023782

FROMMER LAWRENCE & HAUG LLP

75 FIFTH AVENUE
NEW YORK, NY 10017

OCTOBER 15 2002

11:31 AM

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FROMMER LAWRENCE & HAUG LLP

HOLLAND, et al.

APPLICANT TO 7670, 242

EL & H DOCKET NO.

514453/3916

SERIAL NO.

TITLE

- | | |
|--|--|
| <input type="checkbox"/> APPEAL BRIEF | <input type="checkbox"/> PETITION FEE |
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 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/070,242	FIRST NAMED APPLICANT Barbara Hornung	ATTY. DOCKET NO. 514453-3916				
		INTERNATIONAL APPLICATION NO. PCT/EP00/08518				
		<table border="1"> <tr> <th>I.A. FILING DATE</th> <th>PRIORITY DATE</th> </tr> <tr> <td>08/31/2000</td> <td>09/01/1999</td> </tr> </table>	I.A. FILING DATE	PRIORITY DATE	08/31/2000	09/01/1999
I.A. FILING DATE	PRIORITY DATE					
08/31/2000	09/01/1999					

William F Lawrence
 Frommer Lawrence & Haug
 745 Fifth Avenue
 New York, NY 10151

DOCKETED

CONFIRMATION NO. 2927

371 FORMALITIES LETTER

OC000000008083241

Date Mailed: 05/15/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report

 FROMMER, LAWRENCE
 & HAUG, LLP
 MAY 21 AM 3:30

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

FROMMER LAWRENCE & HAUG LLP

715 FIFTH AVENUE
NEW YORK, NY 10151

023280

October 15 2002

PAY TO THE ORDER OF COMMISSIONER OF PATENTS AND TRADEMARKS

130.00

THE SUM OF \$130.00

DOLLARS

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Private Banking Division
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NEW YORK, NY 10043

FROMMER LAWRENCE & HAUG LLP

[Signature]

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DETACH AND RETAIN THIS STATEMENT

THE ATTACHED CHECK IS IN PAYMENT OF FEE DESCRIBED BELOW.
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FROMMER LAWRENCE & HAUG LLP

APPLICANT: Hornung et al
SERIAL NO. 10/970,242FILE AND DOCKET NO. 514453-3916

- ☐ APPEAL BRIEF
☐ APPEAL FEE
☐ CERTIFICATE OF CORRECTION
☐ DISCLAIMER
☐ EXTENSION OF TIME
☐ FEE FOR ADDED CLAIMS
☐ FILING FEE
☐ ISSUE FEE
☐ MAINTENANCE FEE
☐ MISSING PARTS
☐ NOTICE OF OPPOSITION

- ☐ PETITION FEE
☐ PETITION FOR CANCELLATION
☐ PETITION TO REVIVE
☐ RECORDING FEE
☐ REQUEST FOR ORAL HEARING
☐ SEC. 18 TM DECLARATION FEE
☐ STATEMENT OF USE
☐ TM RENEWAL
☐ Declaration Surcharge

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NAME OF CLIENT OR ACCOUNT	FILE NO.	ATTORNEY	AMOUNT	
Clariant International LTD.	514453-3916	WFL/em	130	00

514453-3916
PATENT

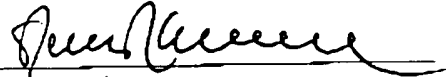
This Communication is being filed with a three-month Petition for Extension of
Time to extend the period of response from July 15, 2002, to October 15, 2002.

Please charge any additional fees required to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: _____



William F. Lawrence
Registration No. 28,029
745 Fifth Avenue
New York, New York 10151
(212) 588-0800

514453-3916
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hornung et al
Serial No. : 10/070,242
Filed : February 27, 2002
For : FIVE MEMBERED-RING COMPOUNDS AND UTILIZATION
THEREOF IN LIQUID CRYSTAL MIXTURES
Confirmation
No. : 2927

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Date of Deposit: October 15, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

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Assistant Commissioner for Patents
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Box PCT

Sir:

Attached is the original executed inventor's declaration along with Check No.

023280 for \$130.00 to cover the required surcharge fee.

MD: 10-15-02

Serial No. 10/070,242 File No. 514453-3914 By WFL/cm
 Title In the Matter of the Application of HORNUNG et al
 The following due 10/15 in the U.S. Patent Office, was received in the Patent Office \$130.00
☐ Affidavit ☒ Declaration ☐ Express Mail Mailing Certificate \$280
☐ Amendment ☐ (separate sheet) 23282 for \$ 920
☐ Preliminary Amendment ☒ Check No. 23282 for \$ 920
☐ Amendment After Final Rejection ☐ Deposit Account Order Form
☒ Request for Extension of Time ☐ Drawing Sheet(s)
☐ Provisional Patent Application ☐ Information Disclosure Statement
☐ Application for Patent, including ☐ PTO Form 1449,
 Pages Specification Claims ☐ Issue Fee Transmittal
☒ Declaration ☐ Oath ☒ Power ☐ Brief ☐ Letter
☐ Request for Filing Continuation or Divisional ☐ Application for TM Registration
 Application sheets, in duplicate Including Specimens
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 sheets, in duplicate ☐ Petition ☐ Response
☐ PCT Request sheets, including ☐ Priority Document
☐ Transmittal Letter to the US/RO ☐ Small Entity Declaration
☐ Assignment ☐ Recordation Cover Sheet ☒ Copy of Notice of Missing
Requirements

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MD: 10-15-02

DT06 Rec'd PCT/PTO 15 OCT 2002

Serial No. 10/070,242 File No. 514453-3914 By WFL/cm
 Title In the Matter of the Application of HORNUNG et al
 The following due 10/15 in the U.S. Patent Office, was received in the Patent Office \$130.00
☐ Affidavit ☒ Declaration ☐ Express Mail Mailing Certificate \$280
☐ Amendment ☐ (separate sheet) 23282 for \$ 920
☐ Preliminary Amendment ☒ Check No. 23282 for \$ 920
☐ Amendment After Final Rejection ☐ Deposit Account Order Form
☒ Request for Extension of Time ☐ Drawing Sheet(s)
☐ Provisional Patent Application ☐ Information Disclosure Statement
☐ Application for Patent, including ☐ PTO Form 1449,
 Pages Specification Claims ☐ Issue Fee Transmittal
☒ Declaration ☐ Oath ☒ Power ☐ Brief ☐ Letter
☐ Request for Filing Continuation or Divisional ☐ Application for TM Registration
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FROM: (PLEASE PRINT) **William F. Lawrence**
FROMMER LAWRENCE & HAUG, LLP
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514453-3916 wflhem
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